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September 27, 2004

TO:

Commissioner for Patents

Attn: Examiner O. Naday

Patent Examining Corps
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Washington, D.C. 20231

FROM: Curtis B. Hamre

OUR REF:

10233.0081USW1

TELEPHONE:

(612) 332-5300

Total pages, including cover letter: 14

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Title of Document Transmitted:

Supplemental Information Disclosure

Statement, Form 1449, 1 reference and a

European Office Action

Applicant:

Kazuhisa Sakamoto

Serial No.:

09/208,105

Filed:

November 25, 1998

Group Art Unit:

2811

Our Ref. No.:

10233.0081USW1

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Name: Curtis B. Hamre

Reg. No.: 29,165

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Peggy Kerkhove

Fragy Willion

September 27, 2004

Date

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S/N 09/208,105

PATENT

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

SAKAMOTO

Examiner:

O. Nadav

Serial No.:

09/208,105

Group Art Unit:

2811

Filed:

November 25, 1998

Docket No.:

10233.81USW1

Title:

SEMICONDUCTOR DEVICE WITH METAL WIRE LAYER MASKING

CERTIFICATE UNDER 37 CFR 1.8(b):

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on September 2

2004.

Name: Peggy Kerkhove

### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(c))

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. At least some of the references were recently cited in a European Office Action mailed June 28, 2004. The additional references listed in the European Office Action were previously cited.

This statement should be considered because it is submitted after the mailing date of a first Office Action on-the-merits or a first Office Action after filing a Request for Continued Examination under 37 C.F.R. § 1.114 or a CPA under 37 C.F.R. § 1.53(d), but before the mailing date of: i) a final action under 37 C.F.R. § 1.113; ii) a Notice of Allowance under 37 C.F.R. § 1.311; or iii) an action that otherwise closes prosecution on the application. No fee is due as this statement is submitted as certified below under 37 C.F.R. §1.97(e)(1) or (2) by the undersigned.

#### Certification Under 37 C.F.R. §1.97(e)(1)

In accordance with 37 C.F.R. §1.97(c) or §1.97(d), the undersigned hereby certifies that each item of information listed on the enclosed Form 1449 was first cited in a communication

from a foreign patent office in a counterpart foreign application within three months of filing this statement.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

A concise explanation of the relevance of each non-English language document or other information is as follows (37 C.F.R. §1.98(a)(3)):

An English abstract has been included for the Japanese references.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

MERCHANT & GOULD P.C.

P.O. Box 2903

Minneapolis, MN 55402-0903

(612)332.5300

Dated: September 27, 2004

Curtis B. Hamre

Reg. No.: 29,165

CBH/vik

23552

SEP-27-04

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FROM-Merchant & Gould

6123329081

T-976 P.004/014 F-222

Date Mailed: September 27, 2004

Sheet 1 of 1

FORM 1449* INFORMATION DISCLOSURE STATEMENT	Docket Number: 10233.81USW1	Application Number: 09/208,105	
IN AN APPLICATION	Applicant: \$AKAMOTO		
(Use several sheets if necessary)	Filing Date: November 25, 1998	Group Art Unit 2811	

		U.S.	PATENT DOCUMEN	TS			
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
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						YES	NO
<u>-</u>	54-2076	January, 1979	Japan			Abstract	
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23552 PATENT TRADEMARK OFFICE

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

<sup>\*</sup>Substitute Disclosure Statement Form (PTO-1449)

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BERESPORD & Co

Primary Examiner +31 70 340-3352 (substantive examination)

Formalities Officer / Assistant (Formalities and other matters)

+\$1 70 340-2264





Application No.	Ref.	Date
98 910 997.0 - 1235	JDC/LT/5288430	28.06.2004
Applicant ROHM CO., LTD.		

### Communication pursuant to Article 96(2) EPC

The examination of the above-identified application has revealed that it does not meet the requirements of the European Patent Convention for the reasons enclosed herewith. If the deficiencies indicated are not rectified the application may be refused pursuant to Article 97(1) EPC.

You are invited to file your observations and insofar as the deficiencies are such as to be rectifiable, to correct the indicated deficiencies within a period

#### of 4 months

from the notification of this communication, this period being computed in accordance with Rules 78(2) and 83(2) and (4) EPC.

One set of amendments to the description, claims and drawings is to be filed within the said period on separate sheets (Rule 36(1) EPC).

Failure to comply with this invitation in due time will result in the application being deemed to be withdrawn (Article 96(3) EPC).



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GELEBART J F M Primary Examiner for the Examining Division

Enclosure(s):

4 page/s reasons (Form 2906)

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Entered By:
Due: 25 / 10 /2004
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Registered Letter EPO Form 2001 07.02CSX SEP-27-04 03:54PM FROM-Merchant & Gould 6123329081 T-976 P.006/014 F-222

9)

Bescheld/Protokoll (Anlage) Communication/Minutes (Annex)

x) Notification/Proces-verbal (Annexe)

Datum Date Date

28.06.2004

Blait Sheet Feuille 1

Anmelde-Nr.:
Application No. 98 910 997.0
Demande no:

The examination is being carried out on the following application documents:

Text for the Contracting States: DE FR NL

### Description, pages:

1-14

as originally filed

#### Claims, No.:

1-8

as originally filed

### Drawings, sheets:

1/10-10/10

as originally filed

The following documents are referred to in this communication; the numbering will be adhered to in the rest of the procedure:

Search Report

- D1: US-A-4 134 778 (SHENG WILLIAM W ET AL) 16 January 1979 (1979-01-
- D2: PATENT ABSTRACTS OF JAPAN vol. 014, no. 070 (E-0886), 8 February 1990 (1990-02-08) -& JP 01 287965 A (MITSUBISHI ELECTRIC CORP), 20 November 1989 (1989-11-20)
- D3: PATENT ABSTRACTS OF JAPAN vol. 013, no. 198 (E-756), 11 May 1989 (1989-05-11) -& JP 01 019771 A (FUJI ELECTRIC CO LTD), 23 January 1989 (1989-01-23)
- D4: ESAKI H ET AL: 'A 900 MHz 100 W VD-MOSFET with silicide gate self-aligned channel' INTERNATIONAL ELECTRON DEVICES MEETING.
  TECHNICAL DIGEST (CAT. NO. 84CH2099-0), SAN FRANCISCO, CA,
  USA, 9-12 DEC. 1984, pages 447-450, XP002270059 1984, New York, NY,
  USA, IEEE, USA
- D5: US-A-5 169 793 (YAMAMOTO TSUYOSHI ET AL) 8 December 1992 (1992-

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Bescheid/Protokoll (Anlage) Communication/Minutes (Annex) Notification/Proces-verbal (Annexe)

Datum Date Date

28.06.2004

Feuille

2

Anmelde-Nr.:

Application No.: 98 910 997.0

12-08)

D6: EP-A-0 213 972 (SILICONIX INC) 11 March 1987 (1987-03-11)

D7: US-A-4 682 195 (YILMAZ HAMZA) 21 July 1987 (1987-07-21)

D8: <u>JP-A-54002076</u>

1. The application does not meet the requirements of Article 84 EPC, because claims 1-7 are not clear:

The clause "to be irradiated with radiating rays" refers to the process of irradiation. Hence, it refers to a process, thus introducing a lack of clarity in a device claim. Furthermore, it is not apparent to the person skilled in the art which constructional characteristic a region to be irradiated should have.

Consequently, said clause jeopardizes the clarity of the independent device claims 1 and 5.

Similarly, the clause "to be irradiated" jeopardizes the clarity of claims 2-4, 6 and 7.

In claim 1, the clause " so as to allow....irradiated" also lacks clarity as it refers to a process.

In claim 5, the clause "is used as a mask for....irradiated" also lacks clarity as it refers to a process.

Claim 4 further lacks clarity as it is not known to the person skilled in the art how thick or thin the metal layer should be in order to avoid any adverse effect on the nonirradiated regions.

2. Claims 1 and 5 have been drafted as separate independent claims.

Under Article 84 In combination with Rule 29(2) EPC an application may contain more than one independent claim in a particular category only if the subject matter claimed

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